

**CRIMINAL DOCKET
UNITED STATES DISTRICT COURT**

D. C. Form No. 100A Rev.

TITLE OF CASE	ATTORNEYS
<p>THE UNITED STATES</p> <p><i>vs.</i></p> <p>RICHARD WAYNE CARROLL,</p> <p>Defendant.</p> <p><u>RULE 20 from the District of Kansas.</u></p>	<p><i>For U. S.:</i></p> <p>Nathan G. Graham, US Atty Ben F. Baker, Asst.</p> <p><i>For Defendant:</i></p> <p>Ainslie Perrault, Jr. Appt. 500 W. 7th Tulsa 74119 582-5141</p>

STATISTICAL RECORD	COSTS		DATE	NAME OR RECEIPT NO.	REC.	DISB.
J.S. 2 mailed 1-1-74	Clerk					
J.S. 3 mailed 1-1-74	Marshal					
suppl. 4-1-74	suppl. 7-1-76					
Violation N.S.P.A.	Docket fee					
Title 18						
Sec. 2314						
2 Count Indictment. (\$10,000/10 Yrs)						

DATE	PROCEEDINGS
12-3-73	Consent to transfer of case for plea and sent., under Rule 20, fld. v
12-3-73	Rule 20 - Transfer Notice, fld. v
12-3-73	cc of Indictment, fld. v
12-3-73	Ordered by the Court that this case is set for Arraignment on 12-4-73 at 10:00 A.M. (AEB-J)v <i>Parties Notified</i>
12-4-73	Def. present in person and rep. by Ainslie Perrault, Jr., appt. counsel. The govt rep. by B. Baker. Def. acknowledges receipt of Indictment. Def. arraigned and enters plea of GUILTY as to Cts. 1&2, and is adjudged GUILTY as charged in Cts. 1&2. Def. waives jury; waiver signed and filed. Def's request for immediate sentence granted.
	Judgment and Sentence- RICHARD WAYNE CARROLL
	Ct. 1- Atty Gen 18 months
	Ct. 2- Probation 3 years to begin at expiration
	of sentence in Ct. 1. Court directs Bureau of Prisons to make report within 90 days. (AEB-J)h
12-4-73	Order of J&C filed and entered. Two c.c. to U.S. Marshal. (AEB-J)h Two c.c. to U.S. Prob. Office. h

DATE	PROCEEDINGS
2-5-74	j & c filed and entered. Defendant Richard Wayne Carroll, Delivered to U. S. Penn. at Leavenworth, Kansas on January 20th, 1974.s
3-6-74	Order filed, that Judgment be modified to read as follows: "Defendant committed to custody of Attorney General for a period of 12 months on Count One, and Count two suspended and defendant placed on probation for a period of 3 years to begin at expiration of sentence in Count One. (AEB-J) ds
6-4-75	Appl. and Order for issuance of warrant of arrest of Deft. Carroll and for probation revocation, filed. Warrant issued. (AEB-J)v
6-22	Waiver of preliminary hearing before Magistrate, filed. b
6-22	MO: Case set for Revocation of probation on 6-24-76, at 10:00 A.M. (AEB-J)b nts mld
6-22	Warrant for Arrest of Probationer, ret. & filed: deft. Carroll arrested at Tulsa, Ok. on 6-22-76. g
6-24	Case called for hearing on Revocation of probation. Deft. present & represented. Govt. represented. Prob. officer Jim Keeter sworn & testifies as to probation record of Deft. Deft. sworn & gives statement. Probation revoked. Deft. & co. asked if they have anything to say before sentence is pronounced: Revocation of Probation - Richard Wayne Carroll Ct. 2 - Atty. Gen. - Eighteen(18) months & further That Deft. may become eligible for parole at such time as the Parole Commission may determine as provided under 4205(b)(2). Further ordered that Ct. be furnished 90 day progress report. Ct. recommends institution for treatment of alcoholism. (AEB-j)b
6-24	Revocation of Probation filed & entered. (AEB-J)b
6-24	Two c/c of Rev. of Prob. to USM. b
6-25	Order nunc pro tunc, filed, correcting appl. & Order for issuance of arrest warrant. (AEB-J)k
7-22	Return on Revocation of Probation Order, fld. Executed by delivering Deft. Carroll to Fed. Reform., El Reno, OK, on 7-7-76 & left him for further transfer to USP, Leavenworth, KS. v
7-22	Return on Revocation of Probation Order, fld. Executed by further delivering Deft. Carroll to USP, Leavenworth, KS, on 7-8-76. v
10-1-76	Order, filed, overruling motion (letters) for reduction of sentence. (AEB-J)g cp mailed.

UNITED STATES MAGISTRATE
NORTHERN DISTRICT OF **OKLAHOMA**

RECORD OF PROCEEDINGS—MISCELLANEOUS

BEFORE Claudine S. Barnes

(Name of Magistrate)

U. S. Court House, Tulsa, Oklahoma

(Address)

This form should be used to record proceedings for which Forms AO 100 and AO 101 are not adapted, such as applications for search warrants, extradition proceedings, depositions in civil cases, proceedings for the release of poor convicts, references in civil or admiralty cases, attachments and subsequent hearings in internal revenue matters, proceedings to settle or certify nonpayment of seamen's wages, civil rights proceedings, detentions of witnesses on removal proceedings in connection with criminal proceedings, if not included in Form AO 100, etc. A separate page should be used for each proceeding, showing the title of the case, its nature, and the date and nature of each step taken.

Magistrate's

Docket No. 1, Case No. 1068

UNITED STATES OF AMERICA,

vs.

RICHARD WAYNE CARROLL

INDICTMENT - DISTRICT OF KANSAS

18 U.S.C. § 2314

(Nature of proceeding)

DATE	ACTION
10/30/73	Richard Wayne Carroll appeared before Claudine S. Barnes, Magistrate, and waived counsel for this hearing only. Ainslie Perrault, Jr. was appointed to represent him at future proceedings. Defendant was advised of the charges contained in Indictment out of the United States District Court for the District of Kansas. Bail was set at \$5,000 cash or surety. Defendant advised he desired to consent to the transfer of this case to this district for plea and sentencing. Defendant was remanded to the custody of the U. S. Marshal in lieu of bond.
11/16/73	Defendant executed consent to transfer to this district for plea and sentencing.

